



DOCUMENTS REQUIRED FOR FUND/COLLECTIVE INVESTMENT SCHEMES (CIS)
ACCOUNT OPENING AND OTHERS

This form can be filled in on a computer; alternatively, use black ink and CAPITAL letters.

Tick (✓) where documents have been supplied

Statutory Documents	
• A certified true copy of the Certificate of Incorporation or equivalent document establishing the existence of the entity	<input type="checkbox"/>
• A certified true copy of the Global Business Licence 1 (GBL1) issued by the Financial Services Commission (FSC) (where applicable)	<input type="checkbox"/>
• A certified true copy of CIS Licence or authorisation, issued by the relevant authorities (Financial Services Commission (FSC) for local CIS)	<input type="checkbox"/>
• Prospectus/Private Placement Memorandum/Offering documents or an original or certified true copy of a duly dated and signed business plan, clearly detailing out the business activities of the Company and including the sources and application of funds or a certified true copy of the latest financial summary or audited accounts/financial statements	<input type="checkbox"/>
• A certified true copy of Company's Memorandum & Articles of Association or Constitution or a written confirmation signed by either the Management Company, the Registered Agent, the Company Secretary or a director, attesting the non-adoption of a Constitution/ Memorandum & Articles of Association (where appropriate)	<input type="checkbox"/>
Shareholders & Ultimate Beneficial Owners	
• Register of Shareholders (or equivalent document) signed/certified by Management Company or Director of Applicant	<input type="checkbox"/>
• List of investors holding 20% or more at the time of on-boarding, signed by a member of the governing body of the Fund (e.g. director, fund manager)	<input type="checkbox"/>
• A Declaration of Beneficial Ownership of entity duly signed by any director/company secretary or authorised signatories as per acts and deeds of the applicant	<input type="checkbox"/>
• Identity documents for all significant shareholders and beneficial owners, investors holding more than 20% in the fund	<input type="checkbox"/>
Director(s)	
• Register of Directors, signed/certified by Management Company or Director of Applicant.	<input type="checkbox"/>
• Identity documents for all directors	<input type="checkbox"/>
Authorised Signatories	
• Resolution (original or certified true copy), authorising the opening of accounts and giving the authority to the signatories for: <ul style="list-style-type: none"> ➤ the operation of the accounts; ➤ the signing of documents; and ➤ the specimen signatures. 	<input type="checkbox"/>
• Identity documents for all authorised signatories	<input type="checkbox"/>
Other Information	
• A letter of undertaking to provide list of investors holding 20% or more, signed by a member of the governing body of the Fund (e.g. director, fund manager), where such percentage interest is reached by any investor	<input type="checkbox"/>
• A duly completed and signed AML/CFT questionnaire signed by the Company Secretary or any director	<input type="checkbox"/>
• Form "F 2064 - Self Certification Form for Entities"	<input type="checkbox"/>

Identity documents are:

- Original or certified true copy of a proof of identity in the form of a valid passport or identity card with clear photographic image, bearing the individual's specimen signature.
- Original or certified true copy of a proof of current permanent residential address dated less than 3 months (e.g. a utility bill).
- For non-resident/non-citizen beneficial owners, a letter of reference from a reputable bank or other acceptable financial institution (dated less than 6 months) or letter of authority
- For non-resident individual promoter(s), a copy of Curriculum Vitae (CV) or equivalent document, providing a clear indication of the profile (qualifications, work experience and timeframe related to same)

The Mauritius Commercial Bank Ltd.

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