

This form can be filled in on a computer; alternatively, use black ink and CAPITAL letters.

Tick ( ✓ ) where documents have been supplied

Statutory Documents	
<ul style="list-style-type: none"> <li>• A certified true copy of the extracts of the original trust instrument/deed (Declaration of Trust or Deed of Settlement) or any other founding document evidencing:           <ul style="list-style-type: none"> <li>➤ The appointment of the trustee(s) and their powers</li> <li>➤ The classes and name(s) of the beneficiaries (if the beneficiaries are not named, information pertaining to how the classes of beneficiaries will be determined)</li> <li>➤ Details of the settlor(s)/initiator(s) (written confirmation by trustee of the identity of the settlor(s) or initiator(s) of the trust, in case the trust is created by declaration and these details are not specified elsewhere)</li> <li>➤ Details and powers of the protector/enforcer (if any)</li> <li>➤ The nature/type and the purpose of the trust</li> <li>➤ The trust fund (funds/assets settled into the trust)</li> <li>➤ The proper law of the trust</li> </ul> </li> <li>• A certified true copy of the Certificate of Registration of the trust (if applicable)</li> <li>• For a newly established trust, a duly dated and signed business plan or certified true copy thereof clearly detailing out the activities of the trust and including the sources and application of funds and estimated volume of inflows and outflows</li> </ul>	
OR	
<ul style="list-style-type: none"> <li>• A recent financial statement (detailing out the income and assets of the trust) if the trust has been established for more than one year</li> </ul>	
OR	
<ul style="list-style-type: none"> <li>• A duly dated and signed statement of income &amp; expenditure</li> </ul>	
Trustee	
<ul style="list-style-type: none"> <li>• For Regulated Corporate Trustee in equivalent jurisdictions:           <ul style="list-style-type: none"> <li>➤ A certified true copy of the Certificate of Incorporation of the corporate trustee</li> <li>➤ A certified true copy of the register of directors</li> <li>➤ A certified true copy of the trusteeship licence or as per evidence obtained on the official website of the Regulatory/Licensing Authority (if any)</li> <li>➤ Resolution (original or certified true copy), appointing the persons authorised to sign on behalf of the Corporate Trustee, together with their specimen signatures</li> </ul> </li> <li>• For Corporate Trustees (Regulated in Non-Equivalent Jurisdictions/Unregulated):           <ul style="list-style-type: none"> <li>(i) A certified true copy of the Certificate of Incorporation of the corporate trustee</li> <li>(ii) A certified true copy of the trusteeship licence (if any)</li> <li>(iii) Resolution (original or certified true copy), appointing the persons authorised to sign on behalf of the Corporate Trustee, together with their specimen signatures               <ul style="list-style-type: none"> <li>➤ Resolution may be in the form of:                   <ul style="list-style-type: none"> <li>(a) A written resolution signed by all Directors; or</li> <li>(b) An extract of minutes signed by Company Secretary or any two Directors.</li> </ul> </li> </ul> </li> <li>(iv) A certified true copy of the register of Directors signed by either the Company Secretary/Registered Agent or, a confirmation of Directorship from Notary/Attorney (unless information already available in Extrait K-Bis or in extracts from Companies Registry)</li> </ul> </li> </ul>	

(v) A certified true copy of Proof of Good/Current Standing (if the Corporate Trustee has been in existence for more than one year)	<input type="checkbox"/>
(vi) CDD documents on the authorised signatories as follows: (a) Original or certified true copy of a proof of identity in the form of a valid passport or identity card with clear photographic image, bearing the individual's specimen signature (valid Driving Licence accepted for Non-Residents from Equivalent Jurisdictions) b) Original or certified true copy of a proof of current permanent residential address dated less than 3 months	<input type="checkbox"/> <input type="checkbox"/>
• <b>For an Individual Trustee</b>	<input type="checkbox"/>
➤ Identity documents	<input type="checkbox"/>
➤ Certified true copy of licence/authorisation to act as trustee (if applicable)	<input type="checkbox"/>

<b>Settlor/Initiator (Individual)</b>	
• Identity documents on settlor/founder	<input type="checkbox"/>
➤ <b>Corporate settlor/initiator</b>	<input type="checkbox"/>
(i) A certified true copy of the Certificate of Incorporation	<input type="checkbox"/>
(ii) A certified true copy of the Register of Shareholders (or equivalent document) signed by either the Company Secretary/Director/Registered Agent or, a confirmation of shareholding from Notary/Attorney (unless information already available in Extrait K-Bis or in extracts from Companies Registry)	<input type="checkbox"/>
(iii) Written confirmation from corporate settlor attesting whether it is acting as nominee settlor	<input type="checkbox"/>
(iv) CDD documents on all significant shareholders holding 20% or more as follows: (a) Original or certified true copy of a proof of identity in the form of a valid passport or identity card with clear photographic image, bearing the individual's specimen signature (valid Driving Licence accepted for non-residents from equivalent jurisdictions) b) Original or certified true copy of a proof of current permanent residential address dated less than 3 months c) A letter of reference from a reputable bank or other acceptable financial institution (dated less than 6 months) or letter of authority, for non-resident individual(s)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

<b>Beneficiaries</b>	
• A Declaration of Beneficial Ownership of entity duly signed by a trustee of the applicant	<input type="checkbox"/>
• Identity documents of all named beneficiaries	<input type="checkbox"/>
• In the event that there are no named beneficiaries OR that the trust is a discretionary trust: ➤ A letter of undertaking from the trustee(s) that the name(s) of beneficiaries and CDD documents will be furnished to the Bank at the time of distribution ➤ Particulars of the named beneficiaries	<input type="checkbox"/> <input type="checkbox"/>

<b>Any Person(s) Having Power to Appoint and Remove the Trustee(s)/Signatories or Other Persons Who Have Authority to Act for and on Behalf of the Trust (E.g. Enforcer/Protector)</b>	
• Identity documents of all authorised persons (except CV)	<input type="checkbox"/>

<b>Authorised Signatories</b>	
• A certified copy of a resolution of trustee(s) authorising the opening of accounts and giving the authority to the signatories (as well as listing the signatories' specimen signatures)for: (i) the operation of the accounts; and (ii) the signing of documents.	<input type="checkbox"/> <input type="checkbox"/>
• Identity documents of all authorised persons	<input type="checkbox"/>

### Other Information

• Certified true copy of documentary evidence pertaining to the appointment of the current trustees (if applicable)	<input type="checkbox"/>
• Certified true copy of the deed of Appointment and Retirement (if applicable)	<input type="checkbox"/>
• Certified true copy of documentary evidence pertaining to the appointment of the current protector/enforcer/financial advisor (if applicable)	<input type="checkbox"/>
• Certified true copies of the Deed(s) of variation (if applicable)	<input type="checkbox"/>
• A written confirmation from the trustees that they are themselves aware of the true identity of the underlying principals, i.e., the settlor/named beneficiaries, and that there are no anonymous principals	<input type="checkbox"/>
• Undertaking from the Trustee(s) to inform the Bank of any material change in the trust or in its operations	<input type="checkbox"/>
• A duly dated and signed shareholding structure (where appropriate), clearly mentioning the percentage shareholding interest held. The structure should go back to the ultimate beneficial owner(s)	<input type="checkbox"/>
• Form "F 2064 - Self Certification Form for Entities"	<input type="checkbox"/>

#### Identity documents are:

- Original or certified true copy of a proof of identity in the form of a valid passport or identity card with clear photographic image, bearing the individual's specimen signature (valid Driving Licence accepted for non-residents from equivalent jurisdictions).
- Original or certified true copy of a proof of current permanent residential address dated less than 3 months (e.g. a utility bill).
- A letter of reference from a reputable bank or other acceptable financial institution (dated less than 6 months) or letter of authority, for non-resident individual(s).
- A copy of the Curriculum Vitae (CV) of the settlor clearly mentioning the qualifications & work experience and the timeframe relating to same and providing a clear indication of the individual's source of funds/wealth (or documentary evidence in respect thereof).